

AndCo Consulting – Mariner Acquisition Overview

The Basics:

AndCo Consulting will become “Mariner Institutional”

Mariner is a national wealth advisory firm

AndCo is an institutional investment consultant

Mariner is acquiring AndCo largely for its institutional consulting experience

2 key changes in April: AndCo’s ownership to Mariner and its name change to “Mariner Institutional”

Washoe County 457 & 401a Plan will continue to be served by Mike Fleiner and Joe Carter and the AndCo staff that supports the relationship

About Mariner:

Mariner is a multidisciplinary financial services firm with \$122 billion in AUA* and 1,571 associates.

Headquartered in Overland Park, Kan. with 98 locations and serves clients across all 50 states.

Mariner’s Wealth Services:

- Wealth Management
- Estate Planning & Trust Services
- Tax Planning & Preparation
- Investment Banking, Valuation Advisory and Forensic Accounting Services
- Insurance Solutions
- Financial Wellness Platform and Coaching

*As of 12/31/23 and represents assets under management and assets under advisement for subsidiaries of Mariner Wealth Advisors, LLC



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Technical Details:

AndCo is being acquired by Mariner, LLC in a stock purchase transaction

AndCo will become a wholly owned subsidiary of Mariner on April 2, 2024

AndCo's name will be changed to be referred to as "Mariner Institutional"

AndCo (Mariner Institutional) will continue to exist as a separate SEC registered investment adviser post transaction

AndCo (Mariner Institutional) will continue to have its own Form ADV

AndCo (Mariner Institutional) client contract remains in place as clients will continue to be serviced by the same RIA

Client consent required due to the transaction being considered a 'change in control' and deemed an assignment by the SEC

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